



11-03-03
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16428

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Presta, et al. Docket No.: 39766-0033CP2C2
Serial No.: 09/724,524 Group Art Unit: 1642
Filing Date: November 27, 2000 Examiner: Minh Tam B. Davis
For: **HUMAN TRK RECEPTORS AND NEUROTROPHIC FACTOR
INHIBITORS**

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MS: Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TECH CENTER 1600/2900

TRANSMITTAL

- ☒ Transmitted herewith are the following documents for the above-referenced application:
- ☒ Amendment and Response to Office Action dated October 2, 2003;
 - ☒ Request for Reimbursement;
 - ☒ Copy of Notice of Improper RCE filed (dated 10/2/03);
 - ☒ Copy of RCE transmittal (dated July 23, 2003) authorizing RCE fees to be charged to Deposit Account 08-1641.

STATUS

- ☒ Applicant is
- ☐ a small entity
 - ☒ other than a small entity.

EXTENSION OF TIME

- ☒ Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input checked="" type="checkbox"/> two months	\$ 410.00	\$205.00
<input type="checkbox"/> three months	\$ 930.00	\$465.00
<input type="checkbox"/> four months	\$1,445.00	\$725.00

Fee **\$410.00**

11/05/2003 CNGUYEN 00000134 081641 09724524
01 FC:1252 410.00 DA

CERTIFICATE OF MAILING (37 CFR 1.10(a))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited on October 31, 2003 with the U.S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EV346725477US, addressed to MS: Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: October 31, 2003

Cheryl Ann Rogers
Cheryl Ann Rogers

FEE FOR CLAIMS

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- ☐ If an additional extension of time is required please consider this a petition therefor.
- ☐ An extension for _____ months has already been secured and the fee paid therefor of \$_____ is deducted from the total fee due for the total months extension now requested.

Extension fee due with this request \$_____

- ☐ The fee for claims (37 CFR 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)		SMALL ENTITY	OR	OTHER THAN A SMALL ENTITY	
Claims Remaining After Amendment		Highest No. Previously Paid For		Present Extra		Rate	Addit. Fee	Rate	Addit. Fee
Total *	Minus *0*	20	=	0	x9=	\$		x18=	\$
Indep. *	Minus *0*	3	=		x40=	\$		x80=	\$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+130=	\$		x260=	\$
						TOTAL ADDIT.FEE	\$	OR	TOTAL ADDIT. FEE
									\$

- ☒ No additional fee for claims required.
- ☐ Total additional fee for claims required \$_____.

FEE PAYMENT

- ☐ Attached is a check in the sum of \$_____ for additional claims fee.
- ☒ Charge Account No. 08-1641 the sum of \$410.00 for two-month extension of time to file.

FEE DEFICIENCY

- ☒ In the event that: a) no check to cover the filing fee is enclosed, b) any above-referenced check is inadvertently omitted or lost, or c) any enclosed check is in an amount less than or greater than the required fee, the Commissioner is authorized to charge any required fees, additional fees, or credit any overpayment to Deposit Account 08-1641.
- ☒ Attached is a postcard for date-stamped return as confirmation of receipt of these materials.

Date: October 31, 2003

Daphne Reddy
Daphne Reddy
Reg. No. 53,507

HELLER EHRMAN WHITE & McAULIFFE LLP
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REQUEST FOR REIMBURSEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: Office of Finance, Refund Section

Dear Sir:

Genentech, Inc., the assignee of the above patent application, through its attorneys, hereby petition for reimbursement of \$750.00, representing the fee erroneously incurred for filing an improper RCE in response to a non-final Office Action mailed June 30, 2003. As evidenced by the mailing of the "Notice of Improper Request for RCE" on October 2, 2003 by the Patent and Trademark Office, the request and fees for filing the RCE was deemed improper and did not apply to this application. However, the RCE filing fee was still charged to the Applicants' deposit account.

Please find enclosed, as evidence, a copy of the "Notice of Improper Request for RCE" mailed 10/02/03 by the Patent and Trademark Office in this application as well as a

CERTIFICATE OF MAILING (37 CFR 1.10(a))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited on October 31, 2003 with the U.S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EV346725477US, addressed to MS: Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: October 31, 2003

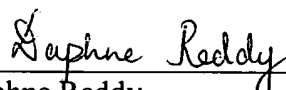
Cheryl Ann Rogers
Cheryl Ann Rogers

copy of the "Request for Continued Examination (RCE) Transmittal" filed by the Applicant on July 23, 2003 which contained the authorization for charging the RCE fees in the amount of \$750.00 on the Deposit Account No. 08-1641 (Docket No. 39766-0033CP2C2).

Please credit the amount of \$ 750.00 to the undersigned's Deposit Account No. 08-1641.

Respectfully submitted,

Date: October 31, 2003



Daphne Reddy
Reg. No. 53,507

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